

Exhibit 300: Capital Asset Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2009-06-30
Date of Last Change to Activities: 2012-06-25
Investment Auto Submission Date: 2012-02-28
Date of Last Investment Detail Update: 2012-02-27
Date of Last Exhibit 300A Update: 2012-02-28
Date of Last Revision: 2012-07-20

Agency: 011 - Department of Justice **Bureau:** 03 - General Administration

Investment Part Code: 01

Investment Category: 00 - Agency Investments

1. Name of this Investment: JMD Unified Financial Management System (UFMS)

2. Unique Investment Identifier (UJI): 011-000001126

Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

The Department of Justice (DOJ) is implementing a unified financial management system (UFMS) to improve financial management and procurement operations across DOJ. UFMS delivers an integrated, secure solution to replace four core accounting and multiple procurement systems using a Commercial Off The Shelf product. Two components, the Drug Enforcement Administration (DEA) and the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) rely on UFMS as the system of record. The U.S. Marshals Service (USMS) implementation is on schedule for go-live in the 1st quarter of FY13. Phase 2 of the Federal Bureau of Investigation (FBI) implementation will be complete in FY13, and the full implementation of FBI will be complete in FY14.

- 2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**

UFMS streamlines and standardizes business processes and procedures, providing accurate, timely, and useful financial data to financial and Program managers. The system assists in improving financial management performance and aids in addressing material weaknesses and non-conformances in internal controls, accounting standards, and systems

security identified by the DOJ Office of the Inspector General. Finally, the system provides integrated procurement functionality to streamline business processes, provide consolidated management information, and the capability to meet all mandatory requirements of the Federal Acquisition Regulation and the Justice Acquisition Regulations.

3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.

1) Deployed ATF on UFMS in Q1 FY11 and preliminary audit results indicate ATF will retain a clear audit opinion with UFMS as the system of record; 2) USMS is on schedule and budget for deployment; 3) Initiated FBI Phase 2 Requirements and Planning Project in Q1 FY11; 4) Completed AFP Planning and Requirements Project in Q3 FY11; UFMS is serving its production customers effectively, achieving Service Level Agreement (SLA) targets of providing 99% system availability and resolving help desk requests within an average of 3 business days in a certified and accredited processing environment. Figures compiled in the first quarter of FY 2011 show the UFMS help desk resolved 99% of more than 12,000 inquiries within an average of 1.93 business days in FY 2010, exceeding the SLA target of 3 business days. Over the same period, UFMS averaged an availability rate of 99.6%.

4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).

1) Complete the design, configuration, testing, data conversion and training in preparation for cutover of FBI Phase 2 to UFMS as the system of record in Fiscal Year (FY) 2013; 2) Complete design, development and testing of the interface to support deployment to USMS; 3) Retain clean audit results for DEA and ATF and improve audit results for USMS; 4) Continue to provide cost effective operations & maintenance; 5) Plan and incorporate new federal initiatives such as System for Award Management (SAM).

5. Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.

2004-09-17

Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$100.6	\$11.7	\$9.8	\$11.1
DME (Excluding Planning) Costs:	\$123.9	\$60.0	\$29.7	\$17.9
DME (Including Planning) Govt. FTEs:	\$15.2	\$3.2	\$3.4	\$3.7
Sub-Total DME (Including Govt. FTE):	\$239.7	\$74.9	\$42.9	\$32.7
O & M Costs:	\$33.4	\$28.7	\$30.5	\$27.1
O & M Govt. FTEs:	\$0.0	\$4.1	\$4.1	\$4.2
Sub-Total O & M Costs (Including Govt. FTE):	\$33.4	\$32.8	\$34.6	\$31.3
Total Cost (Including Govt. FTE):	\$273.1	\$107.7	\$77.5	\$64.0
Total Govt. FTE costs:	\$15.2	\$7.3	\$7.5	\$7.9
# of FTE rep by costs:	147	44	45	39
Total change from prior year final President's Budget (\$)		\$0.0	\$0.0	
Total change from prior year final President's Budget (%)		0.00%	0.00%	

2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

For FY11 and CY12, the reason for the minor fluctuation in Total Percentage Change is due to revised and update cost and budget projections.

Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded		DJJ06F1338									
Awarded		DJJ04F0892									
Awarded		DJJ09F1810									

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

All development work is being conducted by IBM (and its sub-contractors) under Contract Number DJJ-06-F-1338. This contract requires IBM to use an ANSI 748-A compliant Earned Value Management System (EVMS) to manage the performance of all UFMS Task Orders. Earned Value is not required of the remaining contractors; their support is level of effort for program management and advisory services. Earned Value calculations for these level of effort contracts are completed by the UFMS Program Management Office and captured in the program management budget and with compliance of the Department's EV policies and procedures.

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-06-25

Section B: Project Execution Data

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
001126-A	JMD Unified Financial Management System (UFMS)	The Department of Justice (DOJ) has initiated an effort to implement a unified financial management system (UFMS) that will improve the existing and future financial management and procurement operations across DOJ.			
001126-C	Federal Bureau of Investigation (FBI) Phase 2 (CJIS)	The implementation of UFMS-S at the FBI will consist of business transformation, testing, and implementation.			
001126-D	United States Marshals Service (USMS) Phase 2	The implementation of UFMS at the U.S. Marshals Service will follow a two-phase approach consisting of Planning and Requirements followed by Configuration and Implementation..			
001126-E	Bureau of Prisons (BOP)	The upgrade of BOP and USMS comprises the work to move from the UFMS 1.1 Shared Acquisitions to the UFMS 2 shared environment utilizing similar acquisition functionality.			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
00126-B	Asset Forfeiture Program (AFP) Phase 2	The implementation of UFMS at the AFP will follow a two-part approach consisting of Planning and Requirements followed by Configuration and Implementation.			

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
001126-A	JMD Unified Financial Management System (UFMS)							
001126-C	Federal Bureau of Investigation (FBI) Phase 2 (CJIS)							
001126-D	United States Marshals Service (USMS) Phase 2							
001126-E	Bureau of Prisons (BOP)							
00126-B	Asset Forfeiture Program (AFP) Phase 2							

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
001126-C	FBI Phase 2 Planning and Requirements	FBI Phase 2 Initial planning and requirement gathering. This effort includes as-is business process	2011-10-17	2011-10-17	2011-10-17	364	0	0.00%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
		maps, to-be business process maps, requirements document, fit/gap analysis document, system implementation plan, technical architecture document, updating existing planning documents from previous task order, etc.						
00126-B	AFP Phase 2 Planning and Requirements	AFP Phase 2 Initial planning and requirement gathering. Includes As-Is Business Model Definition, Gap Analysis, To-Be Business Model Definition, Extended Functional Requirements, Extended Technical Requirements, Data Conversion Specifications and Requirements Review	2011-12-31	2011-12-04	2012-01-30	425	-30	-7.06%
00126-B	AFP Phase 2 Implementation and Configuration	AFP Phase 2 Design, Implementation, Post-Implementation, and Annual Close. USMS Phase 2 Implementation and Testing. Includes implementation plan, transition plan, close plan, training, user acceptance test, data conversion, production operations documentation, operations readiness	2012-09-05	2012-09-05		365	0	0.00%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
		review and cutover activities. Also includes configuration items and comprehensive testing activities to validate the intended functionality of the application, processes and system meets the documented AFP requirements						
001126-D	USMS Phase 2 Planning and Requirements	USMS Phase 2 Planning - Includes As-Is business model definition, gap analysis, to-be business model definition, extended functional requirements, extended technical requirements, data conversion specifications and requirements review	2012-12-14	2012-12-14		1,019	0	0.00%
001126-D	USMS Phase 2 Implementation and Configuration	USMS Phase 2 Implementation and Testing. Includes implementation plan, transition plan, close plan, training, user acceptance test, data conversion, production operations documentation, operations readiness review and cutover activities. Also includes configuration items and comprehensive testing activities to validate the intended	2012-12-14	2012-12-14		744	0	0.00%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)

functionality of the application, processes and system meets the documented USMS requirements

Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Help desk ticket resolution: % of tier 2 tickets resolved	Percentage	Customer Results - Customer Benefit	Over target	0.750000	0.750000	0.750000	0.750000	Monthly
Average number of business days to pay a Purchase Card invoice	Days	Mission and Business Results - Management of Government Resources	Under target	3.000000	3.000000	2.200000	3.000000	Monthly
Cumulative system availability (year-to-date)	Percentage	Technology - Reliability and Availability	Over target	0.990000	0.990000	0.990000	0.990000	Monthly
Percentage of risks mitigated prior to being elevated to issues	Percentage	Process and Activities - Management and Innovation	Over target	0.900000	0.900000	0.900000	0.900000	Quarterly
Total number of security scans conducted per quarter on the UFMS production environment	Security scan	Technology - Quality Assurance	Over target	1.000000	1.000000	3.000000	1.000000	Quarterly
Prompt payment: % of invoices paid on-time (UFMS 1.1, UFMS 2.0)	Percentage	Mission and Business Results - Management of Government Resources	Over target	0.750000	0.750000	0.730000	0.750000	Quarterly